



CubeMatch
Powering Change

Anti Financial Crime & Client Lifecycle Management

Service Brochure

CAPABILITIES & EXPERTISE

As Financial Crime and Anti-Money Laundering continue to dominate the regulatory landscape, financial services firms are set on increasing efficiencies, updating legacy systems and adopting new technologies. The projected cost of Financial Crime to the financial services industry is 3% of annual turnover.¹

Over the past decade, CubeMatch has worked with a number of financial institutions to develop policies, defining and implementing new target operating models, remediation and delivering on-going operations.

CubeMatch's Anti Financial Crime & Client Lifecycle Management Practice covers the following areas:



CLIENT LIFECYCLE MANAGEMENT (CLM)

ANTI-MONEY LAUNDERING (AML)



ANTI-BRIBERY AND CORRUPTION

TRANSACTION MONITORING



THIRD PARTY RISK

SANCTIONS



¹ Refinitiv, *Revealing the true cost of financial crime*, 2018

CAPABILITIES & EXPERTISE



CONSULTING

Our team of consultants provide impartial expertise on any aspect of anti-financial crime. Whether you need an assessment and gap analysis of your policies against current regulation, help to write a new policy, or an independent team to design a new target operating model or technology strategy, we can help. Our philosophy is to transfer knowledge to our clients and leave them with a sustainable change path.



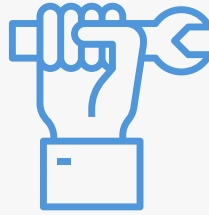
SKILLED RESOURCING

CLM functions can often require urgent surge resourcing for short term periods. CubeMatch provides flexible and scalable high quality resource to augment internal teams. From Project Managers, to SMEs, to KYC Analysts and Quality Assurance. Resources are maintained at the required level and skill, with contractual flexibility to ramp-up and down at short notice.



MANAGED SERVICE

CubeMatch provides fully Managed Services, ensuring that you have adequate resourcing available for operating your day-to-day operations; flexing resource based on business volumes and also providing surge resourcing at peaks of high demand or to cope with periodic review activities.



TOOL SELECTION AND IMPLEMENTATION

With more than 400 vendors supplying a combination of one or more solutions, CubeMatch can identify the best product to meet your regulatory, compliance, risk, and business requirements. We have experience in procurement, solution architecture and design, testing, implementation and post go-live support across a range of AFC technologies.



REMEDiation

As a consequence of regulatory changes or operational issues, many businesses find themselves in a situation where they need to remediate their systems, policies, procedures or client data. This can result in a full re-vamp of policies and procedures or a large remediation programme of client records. CubeMatch has a track record of mobilising teams as large as 400 to support such programmes. These often require a mix of skills, capabilities and experience as well as strong project management and governance.



TRAINING

CubeMatch offers self-paced e-learning courses for financial crime, regulation and compliance through a powerful learning management system. Depending on your learning requirements, we offer ready-to-go programmes on a range of topics, as well as bespoke digital and blended programmes and content.

KYC Remediation Programme

- UK Corporate Bank
- Managed Service
- Refresh of 9,000 customer on-boarding files within a 12-month period
- 400 KYC specialists across 30 teams and 3 locations
- Transferred resource to the client's permanent team

Quality Assurance Function

- Nordic Investment Bank
- Consulting
- Designed policy and operating framework for QA/QC function
- Implemented framework
- Trained internal team

CDD Tool Evaluation & RFI

- Tier 1 European Commercial Bank
- Consulting
- Evaluated 17 technology providers in relation to their CDD, KYC, Customer Screening, and Customer Risk Assessment platforms for both on-boarding and perpetual KYC
- Ran RFP with 4 vendors to select suitable provider for a 5/7-year contract

CDD Outsourcing & Deal Advisory

- Multi-national Banking Group
- Consulting
- Contractual service advisory in relation to an outsourcing agreement
- Governance and oversight between the bank and vendor

Anti-Money Laundering

- Global Investment Bank
- Resource Augmentation
- Team of 15 AML experts to review and complete AML escalations
- Identified and escalated any sanctions or corruption concerns
- Advisory on AML matters identified through news media

KYC Customer Outreach

- Global Investment Bank
- Resource Augmentation
- Team of 20 outreach specialists to clear a backlog of cases in periodic review
- Team management

CLM Data/MI Production

- Global Investment Bank
- Resource Augmentation
- Design, development and implementation of Management reporting database and reports
- Implementation of MI in data visualisation tool to senior management

Transaction Monitoring Tool Implementation

- Global Investment Bank
- Resource Augmentation
- Business, product, functional and data analysis
- Production of Schedules of Works (SoWs), Rough Concepts (RCs) and IT Architecture Blueprints

WHO WE ARE

Founded in **2002**, CubeMatch is a **global change and transformation consultancy**, specialising in **Financial Services** and selected as the **chosen partner** for some of the largest and most demanding transformation projects within the Financial Services sector.

CubeMatch is an international brand continuously expanding with **six offices** worldwide : **Dublin, London, Amsterdam, Frankfurt, Singapore and Chennai**. Combining our world class expertise in Financial Services with our rich capabilities in all aspects of change and transformation, we apply a **Multiplier Effect**, helping clients to be more effective today while creating value for tomorrow.

We are **Banking Native**; it runs through our **DNA**. Unlike more general change consultancies, this banking intimacy means we deliver change and transformation programmes that stick, against a backdrop of complex regulations and continuous disruption.

Over the years, we have successfully built a global firm that is uniquely equipped to deliver pragmatic and business-focused results. We have over **400 staff and multi-million euro revenue**. And through our **strategic partnerships** we apply innovation to help organisations operate, compete and deliver at scale. Blending our powerful change capabilities with next generation technology, we deliver **innovation and business agility** to help businesses thrive.

OUR GLOBAL SERVICES



Strategic Change
and Programme Delivery



Business and Digital
Transformation



Regulatory, Risk
and Compliance



Data and Technology



Quality Assurance



Managed Services

To learn more about our global services: <https://www.cubematch.com/services>

HOW CUBEMATCH CAN HELP

GET IN TOUCH TODAY



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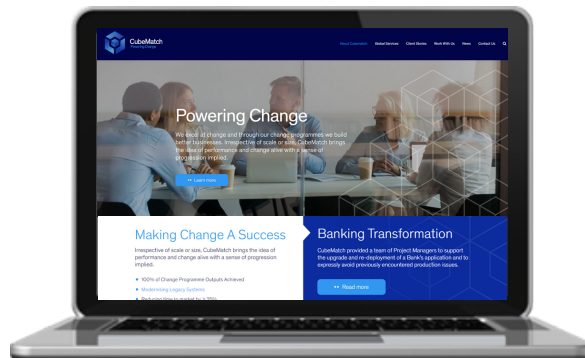
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